

ISO 9001:2015 Process Audit Checklist

System & Process Compliance Auditing

Insert your company's name or logo.

Process Resources

Ref	Audit Question	Audit Findings (Score '1' per box)				Audit Evidence Provide reference to documented information to justify the finding	Opportunities for Improvement (OFI) Provide suggestions for process improvement
		COMPLIANT	OFI	MINOR N/C	MAJOR N/C		
13	Is there evidence of management commitment, involvement and allocation of resources?						
14	How many people participate in the process, is this adequate to achieve process requirements?						
15	Are participants adequately trained to carry out roles, responsibilities, and authorities?						
16	Is equipment (e.g. manufacturing, measuring & monitoring equipment; transport/logistics; hardware/software; PPE; etc.) adequate for its intended use?						
17	Is equipment identified to allow participants to determine its readiness for safe use prior to and during the operation?						
18	Are process owners accountable for the performance and compliance of their processes?						